Synthesis of a [5] Helicene-Based Chiral Polymer

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ABSTRACT

A copolymer precursor, an alkoxy-functionalized 3,12-diethylenyl[5] helicene, was prepared in seven consecutive steps. A Pd(0)-catalyzed reaction between this helicene derivative and p-diiodobenzene gave polymer 11, which is soluble in many common organic solvents. Peaks in the UV spectrum of 11 are shifted to the red when compared with the spectrum of monomeric analogue 12, presumably because of extended conjugation between the helicene comonomers and the aryl connector units.

Introduction

Helicenes are polycyclic structures composed of n ortho-fused aromatic rings, where n refers to the number of rings. When n reaches five or more, the molecule experiences steric repulsion between the terminal rings, causing the molecule to twist into a helix [1]. However, some phenanthrenes and benzoporphyrins were optically resolved and conformationally stable since the early days of helicene chemistry (n = 3 and 4).[ref] The inherent chirality of these molecules gives rise to large optical rotations (several thousand degrees) [2] and high circular dichroism values [3]. As chiral conjugated compounds, helicenes and their derivatives have found applications, including: semiconductors [4,5] organic light-emitting diodes (OLEDs) [6,7] and nonlinear optical (NLO) materials [8]. The above reports have all dealt with helicenes on the molecular level, i.e. small molecules. The synthesis of the corresponding polymeric helicenes is well known not to be feasible as the steric congestion has been shown to prevent the formation of helicenes longer than [14]helicene [9]. However, the synthesis of [16] helicenes containing alternating copolymers having a vinylene [10] or aryl [11] connector is possible [11].

Such structures could feature both extended partial conjugation and the helicene chirality. Pu and co-workers synthesized many polymers in which 1,1'-binaphthyls alternated with aryl groups to produce structures with main-chain chirality [12]. However, extended conjugation across the binaphthyl 1,1'-moiety in a polymer is essentially absent given the large dihedral angle between the two naphthyl groups [13]. Unlike 1,1'-binaphthalene, partial conjugation in helicenes can extend across the entire molecule [11]. Moreover, copolymerization with a benzylidine or similar arylidene connector group should allow increased delocalization. However, helicenes with polymerization potential represent a more synthetically challenging target [11,14,15]. Hence, the synthesis of new and more effective helicene polymer precursors is of interest.

The route toward a new helicene monomer was inspired by a 2007 report by Kamikawa and co-workers. The focus of this work was the one-step conversion of Z,Z-stilbenes into helicenes, via a palladium-mediated C-H arylation reaction (Scheme 1) [16].

Upon replacement of the fluorine in this reported helicene with an acetylene group, reaction with an aryl halide to generate a fully conjugated polymer under Sonogashira reaction conditions would be possible [17,18]. While the direct conversion of aryl fluorides into acetylenes is difficult, replacing fluorine with chlorine should make this reaction possible [19]. The methoxy groups on this helicene
offer another opportunity for modification. Although weaker than for angular or planar polymers, \( \pi-\pi \) interactions in helicenes render polymers insoluble in the common organic solvents used for processing and characterization. This can be addressed by including solubilizing groups, such as long alkyl chains [20]. Cleavage of the methyl ether, followed by alkylation with a longer chain will help to ensure that the resulting polymer will stay dissolved during its polymerization and subsequent processing, if needed. Additionally, the methoxy functional group serves as an electron-donor (D). The general D-\( \pi \)-A (where \( \pi \) is a conjugated linker, and A is an electron-acceptor) structure has been determined to be effective in NLO polymers [21] and is also desirable for modification of electronic properties through tuning of the band gap [22].

An aryl group functionalized with A groups and then polymerized with a D-containing helicene could give an extended structure of these D-\( \pi \)-A units. Here we report our route toward helicene derivative 1. However, the racemization barrier for [5] helicene (24.1 kcal/mol at 27 °C) [23] is low enough that it racemizes at room temperature within a few hours [24]. Because of this, the synthesis of a [5] helicene-based chiral (but not necessarily configurationally stable) polymer is not the main objective, but rather, a demonstration of a viable synthetic route.

**Experimental Section**

All reactions, unless otherwise noted, were conducted using commercially available solvents and reagents as received, without additional purification, in ordinary glassware under an inert argon atmosphere. Veratrole and TEA were distilled after being stirred over CaH₂ for several hours. Dry solvents, such as: DCM, DMF, and THF were obtained from a DriSolv® bottle. 1H and 13C NMR spectra were recorded on Mercury 400 or Varian 400-MR (400 MHz) NMR spectrometers, using residual 1H or 13C signals of deuterated solvents as internal reference standards. Reactions were monitored by TLC carried out on 0.200 mm analytical layer Baker-flex® plates using UV light (254 nm) as the visualizing agent. Silica gel (60 Å, 40-63 μm; Alfa Aesar) was used as a sorbent for flash column chromatography.

**2,3-Dimethoxy-1,4-dicarbaldehyde (5)**

TMEDA (37.5 mL, .250 mol) was added to a solution of veratrole (6.37 mL, 0.500 mol) in diethyl ether (DEE) (250 mL) [3]. The reaction mixture was cooled to -78 °C and n-butyllithium 2.5 M in hexanes (100 mL, .250 mol) was added over 10 min. The solution was allowed to warm to rt, heated to reflux, and allowed to stir for 16 h. The solution was then cooled to -78 °C for the addition of DMF (19.3 mL, 0.250 mol), followed by warming to rt and stirring for 4 h. Finally, the 200 mL of water was added, followed by the addition of 3 M HCl to neutralize the solution. The organic layer was separated, and the aqueous layer was extracted using three 500 mL portions of DEE. The combined organic layers were washed with saturated aqueous NaHCO₃, water, and brine, followed by drying over Na₂SO₄ and concentration under reduced pressure. Purification by flash column chromatography using 25% EtOAc/hexanes as eluent, followed by recrystallization from 10% toluene/hexanes afforded a pale yellow solid (3.31 g, 34%). TLC: 25% EtOAc/hexanes, Rf ≈ 0.5. Mp 98-100 °C. ¹H NMR (400 MHz, CDCl₃) δ 7.14 (s, 2H), 7.62 (s, 2H), 4.05 (s, 6H). 13C NMR (101 MHz, CDCl₃) δ 189.37, 156.79, 134.36, 122.98, 62.61.

**2-Bromo-5-chlorobenzyl)triphenylphosphonium bromide (3)**

1-Bromo-2-(bromomethyl)-4-chloro-benzene (2.50 g, 8.79 mmol) and triphenylphosphine (2.31 g, 8.79 mmol) were brought up in DMF (9 ml) and allowed to stir at reflux for 3 h,1,2 The mixture was allowed to cool to rt and filtered to collect the product, which was washed with cold toluene, followed by cold hexanes. The product was obtained as a colorless solid (4.73 g, 98%). Mp > 250 °C. ¹H NMR (400 MHz, CDCl₃) δ 7.85 – 7.50 (m, 15H), 7.47 (q, J = 2.6 Hz, 1H), 6.63 (t, J = 2.6, 1H), 7.11 (t, J = 2.6, 1H). 13C NMR (101 MHz, CDCl₃) δ 135.39 (d, JPC = 3.1 Hz), 134.53 (d, JPC = 8.8 Hz), 133.95 (d, JPC = 3.4 Hz), 133.04 (d, JPC = 4.9 Hz), 130.44 (d, JPC = 1.2 Hz), 129.95 (d, JPC = 11.8 Hz), 125.18 (d, JPC = 6.7 Hz), 171.50 (d, JPC = 86.0 Hz), 31.17 (d, JPC = 48.6 Hz) (two peaks are not resolved).

**1,4-Bis[(1Z)-2-(2-bromo-5-chlorophenyl)ethenyl]2,3-dimethoxybenzene (6)**

A solution of 5 (1.06 g, 5.45 mmol) and 3 (6.56 g, 12.0 mmol) in THF (44 ml) was cooled to 0 °C [4]. Potassium tert-butoxide (1.46 g, 12.4 mmol) dissolved in water (4.4 ml) was added, dropwise, followed by warming to rt and stirring for 30 min. The resulting mixture was partitioned between EtOAc and water and extracted with EtOAc. The combined organic layers were washed with brine, dried over Na₂SO₄ and concentrated under reduced pressure. Purification by flash column chromatography using 10% EtOAc/hexanes as eluent, followed by recrystallization from 10% toluene/hexanes afforded a colorless solid (2.10 g, 68%). TLC: 14% EtOAc/Hexanes, Rf ≈ 0.7. Mp 114-116 °C. ¹H NMR (400 MHz, CDCl₃) δ 7.47 (d, J = 8.5 Hz, 2H), 7.10 (d, J = 2.6 Hz, 2H), 7.02 (dd, J = 8.6, 2.6, 0.6 Hz, 2H), 6.82 (d, J = 12.1 Hz, 2H), 6.58 (d, J = 12.0 Hz, 2H), 6.50 (s, 2H), 3.84 (s, 6H). 13C NMR (101 MHz, CDCl₃) δ 151.62, 139.51, 133.83, 133.04, 130.35, 130.33, 129.16, 128.78, 127.38, 124.37, 121.73, 61.07.

**8,13-Dichloro-3,4-dimethoxydibenzo[c,g]phenanthrene (7)**

Pd(OAc)₂ (78.7 mg, 0.351 mmol), K₂CO₃ (0.972 g, 7.03 mmol), Ag₂CO₃ (0.485 g, 1.76 mmol), PCy₃·HBF₄ (0.277 g, 0.703 mmol), and 296 (2.00 g, 3.51 mmol) were brought up in DMA (66.8 ml) and allowed to stir at 120 °C for 16 h [4]. Upon completion, the solution was cooled to rt and filtered through a pad of Celite to remove insoluble salts. The filtrate was concentrated under reduced pressure and purified by flash column chromatography using 20% DCM/hexanes as eluent to afford a pale yellow solid (0.900 g, 63%).
To a flask containing \( [\text{PdCl}_2(\text{CH}_3CN)] \) (13.7 mg, 0.0524 mmol), XPhos (0.153 g, 0.314 mmol), and Cs\(_2\)CO\(_3\) (2.22 g, 6.81 mmol) was added a solution of 9 (0.791 g, 1.31 mmol) in anhydrous 1,4-dioxane (5.2 ml) [8]. The suspension was allowed to stir at rt for 25 min prior to the addition of (triisopropylsilyl)acetylene (1.22 ml, 5.24 mmol). The mixture was heated to 90 °C and allowed to stir for 16 h. After cooling to rt, the reaction was diluted with water and extracted with DEE. The combined organic layers were washed with brine, dried over Na\(_2\)SO\(_4\), and concentrated under reduced pressure. Purification by flash column chromatography using 20% hexanes/DCM as eluent afforded a yellow oil (0.940 g, 80%). TLC: 10% DCM/hexanes, \( R_f \approx 0.6 \). \( ^1\)H NMR (400 MHz, CDCl\(_3\)) \( \delta \) 8.33 (dd, \( J = 16.5, 8.9 \) Hz, 4H), 8.14 (dd, \( J = 1.7 \) Hz, 2H), 7.93 (dd, \( J = 8.8, 2.2 \) Hz, 7.38 (dd, \( J = 8.8, 1.7 \) Hz, 2H), 4.36 (m, 2H), 4.00 (m, 2H), 1.96 (m, 2H), 1.83 – 1.55 (m, 8H), 1.49 – 1.38 (m, 8H), 1.24 (s, 4H), 1.07 (td, \( J = 7.4, 2.1 \) Hz, 6H), 0.98 (td, \( J = 7.0, 2.0 \) Hz, 6H). 13C NMR (101 MHz, CDCl\(_3\)) \( \delta \) 145.09, 132.18, 131.65, 130.55, 129.58, 129.07, 127.59, 127.33, 124.17, 120.94, 119.46, 77.89, 76.94, 40.84, 30.65, 29.31, 24.07, 23.34, 14.30, 11.38. UV-Vis absorption maxima: 258 (\( \varepsilon = 83,300 \text{ L mol}^{-1} \text{ cm}^{-1} \)), 325 (\( \varepsilon = 48,100 \text{ L mol}^{-1} \text{ cm}^{-1} \)), and 368 (\( \varepsilon = 8,500 \text{ L mol}^{-1} \text{ cm}^{-1} \)) nm.

### 3.4-Bis(2-ethylhexyl)oxy)-8,13-bis(phenylethynyl) dibenzo[c,g]phenanthrene (12)

A flask charged with 2.11 (72.5 mg, 0.129 mmol), tetrakis(triphenylphosphine) palladium(0) (7.4 mg, 6.43 μmol), and copper(II) iodide (1.2 mg, 6.43 μmol) was brought up in THF (1.3 ml). 10 Iodobenzene (43.3 μl, 0.387 mmol) and TEA (0.77 ml) were then added and the reaction was allowed to stir at 40 °C for 16 h. The reaction mixture was then cooled to rt and filtered with DCM through a short silica gel column, followed by concentration of the solvent under reduced pressure. Purification by flash column chromatography with 8% EtOAc/hexanes as eluent afforded a yellow oil (90.2 mg, 96%). TLC: 25% DCM/hexanes, \( R_f \approx 0.7 \). \( ^1\)H NMR (400 MHz, CDCl\(_3\)) \( \delta \) 8.36 (d, \( J = 8.8 \) Hz, 2H), 8.31 (d, \( J = 8.8 \) Hz, 2H), 8.14 (d, \( J = 1.8 \) Hz, 2H), 7.92 (d, \( J = 8.7 \) Hz, 2H), 7.36 (dd, \( J = 8.8, 1.5 \) Hz, 2H), 4.39 – 4.28 (m, 2H), 4.06 – 3.95 (m, 2H), 3.20 (s, 2H), 1.99 – 1.92 (m, 2H), 1.85 – 1.52 (m, 8H), 1.48-1.37 (m, 8H), 1.06 (td, \( J = 7.5, 2.2 \) Hz, 6H), 1.01 – 0.93 (m, 6H). 13C NMR (101 MHz, CDCl\(_3\)) \( \delta \) 145.09, 132.18, 131.65, 130.55, 129.58, 129.07, 127.59, 127.33, 124.17, 120.94, 119.46, 77.89, 76.94, 40.84, 30.65, 29.31, 24.07, 23.34, 14.30, 11.38. UV-Vis absorption maxima: 258 (\( \varepsilon = 83,300 \text{ L mol}^{-1} \text{ cm}^{-1} \)), 325 (\( \varepsilon = 48,100 \text{ L mol}^{-1} \text{ cm}^{-1} \)), and 368 (\( \varepsilon = 8,500 \text{ L mol}^{-1} \text{ cm}^{-1} \)) nm.
δ 145.06, 131.83, 131.34, 130.45, 129.12, 128.53, 128.44, 127.39, 124.27, 123.46, 120.87, 120.64, 90.26, 89.83, 76.96, 40.85, 30.66, 29.31, 24.08, 23.31, 14.28, 11.39.

UV-Vis absorption maxima: 283 (ε = 50,900 L mol⁻¹ cm⁻¹), 332 (ε = 49,900 L mol⁻¹ cm⁻³), and 372 (ε = 17,700 L mol⁻¹ cm⁻³) nm. Polymerization. A mixture of 2.11 (58.9 mg, 0.101 mmol), p-diiodobenzene (33.7 mg, 0.101 mmol), tetrakis(triphenylphosphine)palladium(0) (5.8 mg, 5.05 μmol), and copper(I) iodide (1.0 mg, 5.05 μmol) were dissolved in THF (10 ml) and TEA (6 ml). The solution was heated to 40 °C and allowed to stir for 48 h. Phenylacetylene (11 μl, 0.101 mmol) was then added and the solution continued to stir for 1 h. The reaction was then cooled to rt and filtered through a short silica plug. The solvent was removed under reduced pressure and the resulting polymer was precipitated in MeOH. The precipitated polymer was dried under high vacuum for 48 h at 60 °C, resulting in 50.0 mg (75%) of an orange solid. Mn = 4,411 g/mol, Mw = 6,531 g/mol, PDI = 1.48. UV-Vis absorption maxima: 286 (ε = 57,100 L mol⁻¹ cm⁻¹), 348 (ε = 85,100 L mol⁻¹ cm⁻³), and 382 (ε = 43,300 L mol⁻¹ cm⁻³) nm.

Characterization

SEC was performed on a Shimadzu HPLC system consisting of: a Shimadzu LC-20AT HPLC pump, a Rheodyne 7725i injector, Phenogel 5u 50 x 7.8 mm Guard Column, Polymer Laboratories PLgel 5 μm MIXED-C column x 2, and a Shimadzu RID 10-A detector, at ambient temperature, using THF (HPLC grade) as the elution solvent with a flow rate of 1 ml/min. Polystyrene standards were used for calibration (Figure 1). The absorbance spectra were recorded on an Agilent UV-Visible Spectrophotometer using a 1 cm trajectory and a blank cell (CHCl₃) for each sample. Monomer 2.1 (4.4 mg) was dissolved in 5 ml of CHCl₃ to make a stock solution (3.69x10⁻⁴ M), which was then diluted to achieve an appropriate concentration for measurement (1.42x10⁻⁵ M). Model monomer 2.14 (1.6 mg) was dissolved in 4 ml of CHCl₃ to make a stock solution (5.45x10⁻⁴ M), which was then diluted to achieve an appropriate concentration for measurement (4.95x10⁻⁵ M). Polymer 2.15 (0.4 mg) was dissolved in 4 ml of CHCl₃ to make a stock solution (2.01x10⁻⁵ M), which was then diluted to achieve an appropriate concentration for measurement (1.82x10⁻⁶ M).

Results and Discussion

The synthesis of target structure 1 begins with the assembly of a Z,Z-stilbene (Scheme 2 & Scheme 3), which is, in turn, the precursor for the C-H arylation reaction (Scheme 5). The required triphenylphosphine salt 3 was synthesized (Scheme 3) by reaction of commercially available 2 with triphenylphosphine in near quantitative yield [25,26]. Dialdehyde 5 was prepared according to previous reports, via double directed ortho-lithiation of veratrole (4) [27]. The relatively low yield of this reaction could be due to the reduced solubility of the aryllithium intermediate or, possibly, secondary reactions, thus compromising the second lithiation [28]. Varying the conditions have not improved this low yield (Scheme 4). With both aldehyde 5 and triphenylphosphine salt 3 in hand, the two were combined under basic conditions to generate stilbene 6 (Scheme 2) in a ratio of 6:1 Z,Z:Z,E [16]. The subsequent conversion of 6 into 7, via a Pd(0)-catalyzed double C-H arylation reaction was accomplished in 63% yield (Scheme 5). Because helicene 7 has limited solubility in many solvents, this intermediate was transformed into 9, having two 2-ethylhexyl groups [29].
This was carried out by cleavage of the methoxy ether functionality using boron tribromide \[30,31\]. The resulting diol (8) was subsequently alkylated with 2-ethylhexyl bromide (Scheme 6). This reaction proceeded slowly, but with the inclusion of dibenzo-18-crown-6 as a catalyst, a moderate yield (38\%) of the alkylated product (9) was obtained as a viscous oil \[32\]. Compound 9 was significantly more soluble in common organic solvents in comparison with its precursor, 7. As pointed out above, the ability to vary the type of alkoxy group allows for a degree of leverage with respect to physical properties \[8,22\]. The last step in completing the helicene monomer 1 was the substitution of the two chlorines of 9 with allyne groups that should allow copolymerization using Sonogashira conditions. Using the method reported by Buchwald \[19\], a Pd(II)-catalyzed substitution gave 10 having two TIPS-protected acetylene groups (Scheme 7). In this case, doubling the ratio of ligand to metal (6:1 rather than 3:1) was necessary for optimal yields. A tetrabutylammonium fluoride-mediated deprotection of the TIPS groups gave monomer 1 in near quantitative yield \[33\].
Scheme 6: Deprotection of the methoxy groups, followed by alkylation (R = 2-ethylhexyl).

Scheme 7: Coupling of the TIPS-protected alkyne groups, followed by deprotection of the TIPS groups (R = 2-ethylhexyl).

Scheme 8: Polymerization between the helicene monomer and p-diodobenzene (R = 2-ethylhexyl).

Monomer 1 was subjected to a Pd(0)-catalyzed Sonogashira reaction with p-diiodobenzene in the presence of catalytic amounts of Pd(PPh$_3$)$_2$Cl and CuI in THF and TEA to afford polymer 11 in 80% yield [17]. This polymer was soluble in common organic solvents, including THF, DCM, and CHCl$_3$ (Scheme 8). The number-average molecular weight ($M_n$) was determined to be 4,411 g/mol (PDI = 1.48) by SEC, using polystyrene standards in THF [34,35]. In addition, a model analogue 12 was synthesized by end-capping of 1 using similar reaction conditions, allowing comparisons with polymer 11 (Scheme 9). As shown in Figure 2, the absorption maxima at 286, 348, and 382 nm of polymer 11 are red-shifted compared with model monomer 12 having maxima at 283, 332, and 372 nm, with red shifts of 3, 16, and 12 nm, respectively. These spectral differences are consistent with the extended partial π conjugation [36]. This is significant, because extended conjugation is a desired characteristic in the design of many NLO and other organic materials [37,38].
Conclusion

A 3,12-diethenyl [5]helicene with electron-donating ether groups was prepared and copolymerized with an aryl connector to produce a polymer with extended conjugation. This is the first example of a polymerization of a helicene with modifiable electron-donating functionality. This variability opens up helicenes as potential tunable components in organic electronic materials. Efforts in our laboratory toward more conformationally stable helicene monomers are currently underway.

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References


